



Account for Surplus Transfer Form

Request for changing "account for surplus transfer".

Participant id ¹	Full name of participant

Environment ²
<input type="checkbox"/> VPC Test Environment (Acpt)
<input type="checkbox"/> VPC Production Environment (Prod)

Date of change ³

Account for surplus transfer ⁴	
Account name	

Send by post to
Euroclear Sweden Settlement & Asset Services Box 191 SE - 101 23 Stockholm Sweden

Date

Signature by authorised person(-s)

Name(-s)

¹ The participant id is the three letter clearing member identity code.

² Please complete a copy for each environment in the case you intend to use both.

³ Specify the date for the change to take effect.

⁴ Specify an *owner account* (trading book or owner account legal entity) or a *nominee account*.

The account must be an owner account if the clearing member acts on its own behalf only. The org id no of the account must be the same as the org id no of the clearing member if an owner account is specified.

The account must be a nominee account if the clearing member acts on behalf of others. There is no requirement of identical org id no's for the account and clearing member identity if a nominee account is specified.

The account may be registered with any account operator.

The account may be used as a surplus account by more than one clearing member identity.